

Federal Communications Commission Office of Engineering and Technology Laboratory Division

January 4, 2016

FCC IMPORTATION FORM 740 GUIDE

When importing products and equipment that are considered as <u>radio frequency (RF) devices</u>, importers must declare to the United States Customs Service that the device complies with FCC's import conditions under 47 CFR Part 2 <u>Subpart K § 2.1204</u>. For the majority of cases, a paper copy of Form 740 is not provided to customs or the FCC. Brokers and shippers often use a paper copy of Form 740 as a backup to the electronic FCC declaration entry made by the broker, based on the paper copy of Form 740 form that is signed by the importer. Only in the event that customs or the FCC request the paper copy of Form 740 or the broker is unable to use the electronic entry system will the paper copy of Form 740 be required to be given to customs.

The following tables are repeated from FCC Form 740 and are annotated with the numbers in brackets [...] referring to notes following each table, to help explain and aid in completing the required information.

Part I – All Blocks Must Be Completed				
Date of Entry	Entry Number	Port of Entry ¹	Harmonized Tariff	Quantity of Item
			Number ²	(not number of
				containers) ³
[1]	[1]	[1]	[1] [2]	[3]

Device Model/Type Name or #	Trade Name	FCC ID	Description of Equipment
[4]	[5]	[6]	[7]

Ī	Manufacturer's Name and Address	Consignee's Name and Address	Importer's Name and Address
ĺ	[8]	[9]	[10]

Printed or Typed Name of Importer or Consignee	Signature of Importer or	Date (Month/Day/Year)
	Consignee	
	[11]	

¹ Port of Entry Use Schedule D – Classification of U.S. Customs Districts and Ports for U.S. Foreign Trade Statistics – a four digit code i.e., New York City, NY 1001.

² Harmonized Tariff Number – Harmonized Tariff Schedule of the United States.

³ This quantity must be total number of items, not number of containers.

Notes for tables in Part I

- [1] Date of Entry, Entry Number, Port of Entry, and Harmonized Tariff Numbers This information is associated with the customs entry papers.
- [2] Harmonized Tariff Number Importers should not rely on the "FC" flag. If there is a no flag, "FC3" flag or "FC4" flag, this is not a definite indication that a Form 740 entry declaration is not, or maybe or is required. The flags are just a guide, for example, many common products do not normally contain radio frequency devices (such as cooking and kitchenware under Chapter 73 of the harmonization code). But with today's technology more and more of these devices are equipped with digital electronic logic or wireless capabilities and are regulated by the FCC.
- [3] Quantity of Item The quantity of RF devices being imported, regardless of what unit is specified in the Harmonized Tariff Schedule of the United States.
- [4] Identification of Item The Device Model/Type, Trade, Description of Equipment Name: A commercial product description which is to include the trade name, a model/type number (or model/type name) and other descriptive information about the device being imported.
- [5] Trade Name List a trade name only if applicable for the product.
- [6] FCC ID For products authorized under the FCC Certification Procedure list the FCC Identifier (FCC ID) for the product.
- [7] **Description of Equipment** provide a description of the equipment being imported.
- [8] Manufacturer's Name and Address For devices that are subject to FCC Certification (FCC ID) the manufacturer name shall agree with the responsible party's name as shown on the FCC Grant of Certification. For devices that are approved using the Verification Procedure (an FCC ID is not required) the importer is responsible to provide the name of the party responsible for the equipment authorization. For devices that are approved using the Declaration of Conformity Procedure (FCC ID is not required) the importer is responsible to provide the name of the party responsible for compliance.
- [9] Consignee's Name and Address Is the entity who is financially responsible (the buyer) for the receipt of a shipment
- [10] Importer's Name and Address Generally the same as the Consignee that is importing the goods.
- [11] Signature of Importer or Consignee Consignee signature, if not the same as the importer.

Part I	- With Regard to the Importation of the Described Radio Frequency Device(s), I DECLARE THAT:	
(Place an "X" in only one box)		
[1]	1. The FCC has issued a grant of equipment authorization for the FCC ID listed above.	
[2]	2. An FCC grant of equipment authorization and an FCC ID are not required, but the equipment	
	complies with FCC technical requirements.	
[3]	3. The described equipment is being imported in limited quantities for testing and evaluation for	
	compliance with technical requirements or marketing suitability. The equipment will not be offered	
	for sale or otherwise marketed. (See Instructions)	
[4]	4. The described equipment is being imported in limited quantities for demonstration at industry trade	
	shows and will not be offered for sale or otherwise marketed. (See Instructions)	
[5]	5. The described equipment is being imported solely for export. It will not be offered for sale or	
	otherwise marketed in the U.S.	
[6]	5(a). The described equipment is a non-U.S. standard cellular phone that can only function outside of the	
	U.S. (See Instructions)	
[7]	6. The described equipment is being imported for use exclusively by the U.S. Government.	
[8]	7. Three or fewer radio receivers, computers, or other unintentional radiators as defined in Part 15 of the	
	FCC Rules, are being imported for an individual's personal use and are not intended for sale.	
[9]	8. The described equipment is being imported for repair and will not be offered for sale or otherwise	
	marketed.	

Notes for the table in Part II

- [1] The FCC has issued a grant of equipment authorization under the Certification procedure for the FCC ID listed in the FCC ID field in Part I. For equipment that has been certified and issued an FCC ID, but also is verified or uses the Declaration of Conformity procedure, it is only necessary to use one declaration form and declare Part I.
- [2] Products that do not require an FCC Certification (FCC ID not required).
 - Products that require and have an equipment authorization under the Verification or Declaration of Conformity procedure only. For example, unintentional radiators under § 15.101
 - Products containing only digital logic that are exempt under § 15.103.
 - The current FCC importation rules in § 2.1204 list two additional conditions for importing equipment not included in the paper copy of Form 740. For these conditions (9) and (10) use item 2 as the declaration.
 - (9) The radio frequency device is a medical implant transmitter inserted in a person or a medical body-worn transmitter for the personal use
 - (10) Three or fewer portable earth-station transceivers, as defined in § <u>25.129</u> of this chapter, by a traveler as personal effects.
- [3] Display of this equipment must include markings clearly indicating that the device(s) are not eligible for sale. Numbers that exceed 4,000 units must obtain written approval by submitting written request. See KDB Publication 741304 for Import Waiver instructions. (Note: Current, thresholds limits are programed in to custom's entry system (ACS) for quantities of 200 or less. Importers can use multiple declarations to override the limitation up to the new limit of 4000 without a waiver until such time the system is updated or addressed.)
- [4] Display of this equipment must include markings clearly indicating that the device(s) are not eligible for sale. Numbers that exceed 200 units for licensed transmitters and 10 for all other devices must obtain written approval by submitting written request. See KDB Publication 741304 for Import Waiver instructions.

- [5] Equipment under 5 and 5(a) are subject to conditions into foreign trade zones or Customs bonded warehouses.
- [6] Wireless telephony devices that do not have a FCC grant of equipment authorization and is subject to conditions into foreign trade zones or Customs bonded warehouses.
- [7] Under § 2.807(d), statutory exceptions for Radiofrequency devices for use by the Government of the United States or any agency thereof: Provided, however, that this exception shall not be applicable to any device after it has been disposed of by such Government agency. In general this is not applicable to commercial type products that can also be used by non-government parties.
- [8] These are only for three or fewer personal unintentional radiators (non-wireless devices).
- [9] This is for equipment being repaired in the U.S. and being exported after repair and may be subject to conditions into foreign trade zones or Customs bonded warehouses.

REFERENCES

FCC Form 740, STATEMENT REGARDING THE IMPORTATION OF RADIO FREQUENCY DEVICES CAPABLE OF CAUSING HARMFUL INTERFERENCE, March 2004, (https://www.fcc.gov/Forms/Form740/740.pdf)

<u>KDB Publication 741304</u>, Guidelines for requesting a waiver of the importation requirements in Section 2.1204.